

# DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS AND PROFESSIONAL REGISTRATION

P.O. Box 690, Jefferson City, Mo. 65102-0690

In the Matter of:	)	
	)	
ANTHONY C. BRENT,	)	Case No. 19220543C
	)	
Applicant.	)	

## **CONSENT ORDER**

Chlora Lindley-Myers, Director of the Missouri Department of Insurance, Financial Institutions and Professional Registration, takes up the above matter for consideration and disposition. The Consumer Affairs Division, through counsel Shelley A. Woods, and Anthony C. Brent have reached a settlement in this matter and consent to the issuance of this Consent Order.

1. Chlora Lindley-Myers is the duly appointed Director of the Missouri Department of Insurance, Financial Institutions and Professional Registration ("Director" of the "Department") whose duties, pursuant to Chapters 374 and 375 RSMo, include the supervision, regulation, and discipline of insurance producers.

<sup>&</sup>lt;sup>1</sup> All civil statutory references are to the Revised Statutes of Missouri 2016, RSMo 2016, unless otherwise noted.

- 2. The Consumer Affairs Division of the Department has the duty, charged by the insurance laws of this state, to conduct investigations into the acts of insurance producers and is authorized by the Director to initiate actions necessary to enforce the insurance laws of this state, including the discipline of insurance producer licenses and refusal of insurance producer license applications.
- 3. On or about February 21, 2018, the Department received Anthony C. Brent's ("Brent") completed electronic Application for Resident Insurance Producer License ("Application").
- 4. On and with the Application, Brent disclosed a felony conviction and provided court records revealing that on February 20, 2015, Brent pled guilty to a Class C Felony, Possession of a Controlled Substance (morphine), Except 35 Grams or Less Marijuana, and was sentenced to five years incarceration with the execution of the sentence suspended and Brent fined and placed on supervised probation for a period of five years. *State v. Anthony C. Brent*, St. Francois Co. Cir. Ct., Case No. 14SF-CR00286-01.
- 5. Although the end date for Brent's probation was February 20, 2020, the Department of Corrections released Brent from his supervised probation on September 2, 2017. *Id*.
- 6. Additionally, following his conviction, Brent entered the Teen Challenge International of Mid-America program, a substance abuse treatment program, and completed it on June 24, 2016.
  - 7. Brent has no other convictions on his record.

- 8. Brent acknowledges and understands that the Director may refuse to issue him a resident insurance producer license pursuant to § 375.141.1(6) because Brent has been convicted of a felony.
- 9. Brent acknowledges and understands that he has the right to consult legal counsel at his own expense.
- 10. Brent stipulates and agrees to waive any waivable rights to a hearing before the Administrative Hearing Commission or the Director, any waivable rights to seek judicial review, and any waivable rights to challenge or contest the terms and conditions of this Consent Order. Brent further stipulates and agrees to forever release and hold harmless the Department, the Director and her agents, and the Consumer Affairs Division from all liability and claims arising out of, pertaining to, or relating to this matter.
- 11. Brent acknowledges and understands that this Consent Order is an administrative action and that the Department will report it to other states. Brent further acknowledges and understands that this administrative action should be disclosed on his future applications and renewal applications and that he is responsible for complying with the reporting requirements of each state in which he may be licensed.
- 12. Each signatory to this Consent Order certifies by signing that he or she is fully authorized, in his or her own capacity or by the named party he or she represents, to accept the terms and provisions of this Consent Order in their entirety and agrees, in his or her personal or representational capacity, to be bound by the terms of this Consent Order.

### **CONCLUSIONS OF LAW**

- 1. The facts above, which are admitted by Brent, are grounds to refuse his Application pursuant to § 375.141.1(6).
  - 2. Section 375.141 provides, in relevant part:
    - 1. The director may suspend, revoke, refuse to issue or refuse to renew an insurance producer license for any one or more of the following causes:

\* \* \*

- (6) Having been convicted of any felony or a crime involving moral turpitude[.]
- 3. The foregoing facts hereby admitted by Brent constitute cause for the Director to refuse Brent's Application pursuant to § 375.141.1(6).
- 4. The Director is authorized to settle this matter and issue this Consent Order in the public interest pursuant to §§ 374.046, 536.060, and 621.045.
- 5. The terms set forth in this Consent Order are an appropriate disposition of this matter, and entry of this Consent Order is in the public interest.

#### ORDER

IT IS ORDERED that the Department will issue a resident insurance producer license to Anthony C. Brent subject to the terms set forth herein and the following special conditions:

Brent shall report to the Consumer Affairs Division any violation of or failure to comply with Missouri insurance regulations and Missouri insurance laws, including those set forth in Chapters 374 or 375, within five
 business days of such violation or failure to comply.

- 2. Brent shall respond to all inquiries from the Consumer Affairs Division in accordance with 20 CSR 100-4.100.
- 3. If a consumer complaint is communicated directly to Brent, Brent shall send the Consumer Affairs Division a copy of the complaint and a copy of Brent's response to the consumer within five (5) business days of receipt of the consumer complaint.
- 4. Brent shall report to the Consumer Affairs Division any and all of the following incidents involving Brent: the first court hearing or appearance in any criminal proceeding, guilty plea, *Alford* plea, nolo contendere plea, finding of guilt, or conviction for a felony or misdemeanor. Brent shall report all such incidents to the Consumer Affairs Division within five (5) business days of their occurrence.
- 5. Brent shall report to the Consumer Affairs Division any administrative action undertaken or initiated against Brent in another jurisdiction or by another governmental agency in this state within the five (5) business days after Brent receives notification of the initiation of such administrative action.
- 6. The special conditions listed in paragraphs 1 through 5 will expire upon the earlier of expiration, lapse, termination, revocation, or renewal of Brent's resident insurance producer license, whichever occurs first.

IT IS FURTHER ORDERED that, for five (5) years subsequent to the date that Anthony C. Brent is issued a resident producer license, Anthony C. Brent will voluntarily

surrender his resident insurance producer license to the Department within five (5) business days of Brent entering a guilty plea, entering a nolo contendere plea or *Alford* plea, being found guilty, or being convicted of a felony, regardless of whether the sentence is suspended or executed.

IT IS FURTHER ORDERED that if Anthony C. Brent fully complies with the terms of this Consent Order, Chapters 374 and 375 and the regulations promulgated pursuant thereto, Brent may apply to renew his resident insurance producer license, and the Director shall consider Brent's renewal application in accordance with Chapters 374 and 375, RSMo without regard to Brent's prior felony convictions in *State v. Anthony C. Brent*, St. Francois Co. Cir. Ct., Case No. 14SF-CR00286-01, or the underlying conduct.

IT IS FINALLY ORDERED that the Director may pursue additional legal remedies, as determined appropriate by the Director, without limitation as authorized by Chapters 374 and 375, including remedies for violation of or failure to comply with the terms of this Consent Order.

SO ORDERED, SIGNED, AND OFFICIAL SEAL AFFIXED THIS 15th DAY OF November, 2019.

Missouri Department of Insurance, Financial Institutions and Professional Registration

## **CONSENT AND WAIVER OF HEARING**

The undersigned persons understand and acknowledge that Anthony C. Brent may have a right to a hearing but that Anthony C. Brent waives the hearing and consents to the issuance of this Consent Order.

Anthony C. Brent 417 W. Stanford Springfield, Missouri 658076 Applicant	<u>Q.3.19</u> Date
Counsel for Applicant	Date
Missouri Bar No.: Address:	
Telephone: Facsimile: Email:	
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